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Commissioner for Patents
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September 24, 2008

Date of Deposit
Amir N. Penn, Reg. No. 40,767

Name of applicant, assignee or
Registered Representative

[Signature]
Signature
September 24, 2008

Date of Signature

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Naoki Naruse et al.
Appln. No.: 10/814,662
Filed: March 31, 2004
For: Communication device and program
Attorney Docket No: 9683/183

Examiner: POLTORAK, PIOTR
Art Unit: 2134
Confirmation No.: 5965

THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

In accordance with the duty of disclosure under 37 CFR §1.56 and §§1.97-1.98, and more particularly in accordance with 37 CFR §1.97(b), Applicants hereby cite the following reference(s):

U.S. PATENT DOCUMENTS		
DOCUMENT NO.	DATE	NAME
5,673,315	09/30/1997	Wolf
6,317,742 B1	11/13/2001	Nagaratnam et al.
6,766,353 B1	07/20/2004	Lin et al.
6,971,016 B1	11/29/2005	Barnett
6,976,165 B1	12/13/2005	Carpentier et al.
2005/0160045 A1	07/21/2005	Watanabe et al.
2005/0166264 A1	07/28/2005	Yamada et al.



CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

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Date: September 24, 2008 Name: Amir N. Penn (Reg. No. 40,767) Signature: 

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& LIONE

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Appln. No.: 10/814,662

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For: COMMUNICATION DEVICE AND
PROGRAM

Attorney Docket No: 9683/183

Examiner: To Be Assigned

Art Unit: 2131

Mail Stop Amendment
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL

Sir:

Attached is/are:

☒ Transmittal (1 pg)(in dup.); Third Supplemental Information Disclosure Statement (3 pgs); Form PTO 1449 (2 pgs); and Cited References.

☒ Return Receipt Postcard

Fee calculation:

☒ No additional fee is required.

☐ Small Entity.

☐ An extension fee in an amount of \$_____ for a _____-month extension of time under 37 C.F.R. § 1.136(a).

☐ A petition or processing fee in an amount of \$_____ under 37 C.F.R. § 1.17(____).

☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$25=			x \$50=	
Indep.		Minus			x 100=			x \$200=	
First Presentation of Multiple Dep. Claim					+\$180=			+\$360=	
					Total	\$		Total	\$

Fee payment:

☐ A check in the amount of \$_____ is enclosed.

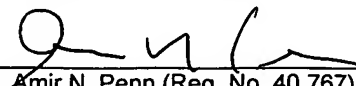
☐ Please charge Deposit Account No. 23-1925 in the amount of \$_____. A copy of this Transmittal is enclosed for this purpose.

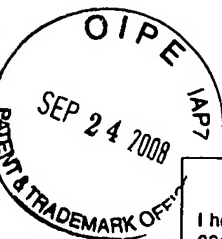
☐ Payment by credit card in the amount of \$_____ (Form PTO-2038 is attached).

☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

September 24, 2008
Date


Amir N. Penn (Reg. No. 40,767)



CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

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First Presentation of Multiple Dep. Claim					+\$180=			+\$360=	
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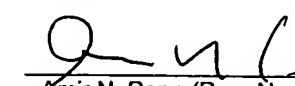
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Respectfully submitted,

September 24, 2008

Date


Amir N. Penn (Reg. No. 40,767)

FOREIGN PATENT DOCUMENTS		
DOCUMENT NO.	DATE	COUNTRY
EP 0 813 132 A2	12/17/1997	EPO
EP 1 132 796 A1	09/12/2001	EPO
JP 2001-117769 A	04/27/2001	JPO
JP 2001-216043 A	08/10/2001	JPO
JP 2001-243062 A	09/07/2001	JPO
JP 2003-50641 A	02/21/2003	JPO
WO 00/42498 A1	07/20/2000	WIPO
WO 98/21683 A2	05/22/1998	WIPO

OTHER ART – NON PATENT LITERATURE DOCUMENTS
Edited by ASCII Shoseki Henshubu "i-Mode Java Programming – Stand Alone Application Part revised new edition" ASCII Coup., May 21, 2001, total 17 pages (with partial translation)
Li, G. "Java Series Java2 Platform Security", <i>Kabushiki Kaisha Pearson Education</i> , First Edition, November 30, 2000, total 14 pages (with partial translation)
Computer Today, No.87, September 1, 1998, total 9 pages (with partial translation)
Translation of International Preliminary Examination Report issued October 6, 2003 in International Application number PCT/JP03/03974
Megler, V., "i-mode From bandwidth problem into Internet phenomenon", <i>Developerworks</i> , February 2002, XP002329582, 13 Pages
Sun Microsystems, Inc., "Default Policy Implementation and Policy File Syntax", <i>Java Sun</i> , October 30, 1998, XP002329583, 11 Pages
NTT DoCoMo, "i-mode Java Content Developer's Guide –Functional Descriptions-", Release 1.1, May 14, 2000 total 12 pages
Jess Garms, "Professional Java Security", Publishing House of Electronics Industry, Jan. 31, 2002 (with translation) total 35 pages
Li Gong, "Java2 Platform Security", Japan, Pearson Education, Inc., November 30, 2000, 1 st edition, pp 41-44 and 123-138 (ISBN: 4-89471-193-1) (with conscious explanation)
ASCII Book Editorial Department, "i mode Java programming – Standalone Applications: Newly-Revised Edition", Japan, ASCII Corporation, May 21, 2001, 1 st Edition, pp 19, 20 and 40-52 (ISBN: 4-7561-3790-3) (with conscious explanation)
Kaku, T. et al., "Introduction to Java Programming in i-mode, 1 st Edition" <i>Nikkei Business Publications, Inc.</i> , March 26, 2001, pp.37-41 (with partial translation)
"i Appli Service & 503i Series" <i>Business Communication</i> , vol.38, No.2, February 1, 2001, pp. 44-47 (with partial translation)
Jaworski, J., "Java 2 Security Programming" <i>Java Security Handbook</i> , 1 st Edition, April 25, 2001, pp.79-90, 529-541(with partial translation)
Kawaguchi, "Practically Useful Homepages Vol. 30", <i>Nikkei PC21</i> , Nikkei Business Publication, Inc., Jun. 1, 2000, Vol. 5, No. 11 pp150-154 (with partial translation)
Chinese Office Action dated May 12, 2006 in Chinese patent application number 03807636.5 (with translation)
Chinese Office Action dated December 1, 2006 in Chinese patent application number 03807636.5 (with translation)
Japanese Office Action issued August 28, 2007 in Japanese Patent Application number 2002-001843 (with translation)
Japanese Office Action issued October 16, 2007 in Japanese Patent Application No. 2003-581004 (with translation)
Japanese Office Action issued July 22, 2008 in Japanese Patent Application number 2002-001843 (with translation)

Applicants are enclosing Form PTO-1449 (two sheets), along with a copy of each listed reference for which a copy is required under 37 CFR §1.98(a)(2). Pursuant to the undersigned attorney's obligation and duties under 37 C.F.R. §§1.56 and 1.98(a)(3) and (c), either English language abstracts, partial translations, or full translations are included for patent documents which are not in English for the express purpose of providing a concise explanation of the references to the Patent and Trademark Office with the opportunity to evaluate the same. Applicants respectfully request the Examiner's consideration of the above reference(s) and entry thereof into the record of this application.

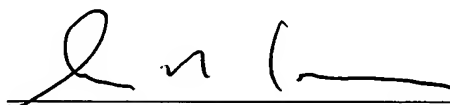
By submitting this Statement, Applicants are attempting to fully comply with the duty of candor and good faith mandated by 37 CFR §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 CFR §1.56(a).

The Applicant or Applicants have calculated no fee to be due in connection with the filing of this Information Disclosure Statement. However, the Director is authorized to charge any fee deficiency associated with the filing of this Information Disclosure Statement to a deposit account, as authorized in the Transmittal accompanying this Information Disclosure Statement.

Respectfully submitted,

September 24, 2008

Date



Amir N. Penn
(Reg. No. 40,767)